

Generalized Universality

R. Guerraoui, EPFL



© R. Guerraoui

1



Act 1
Classical Universality

Act 2
Modern Universality

Act 3
Generalized Universality

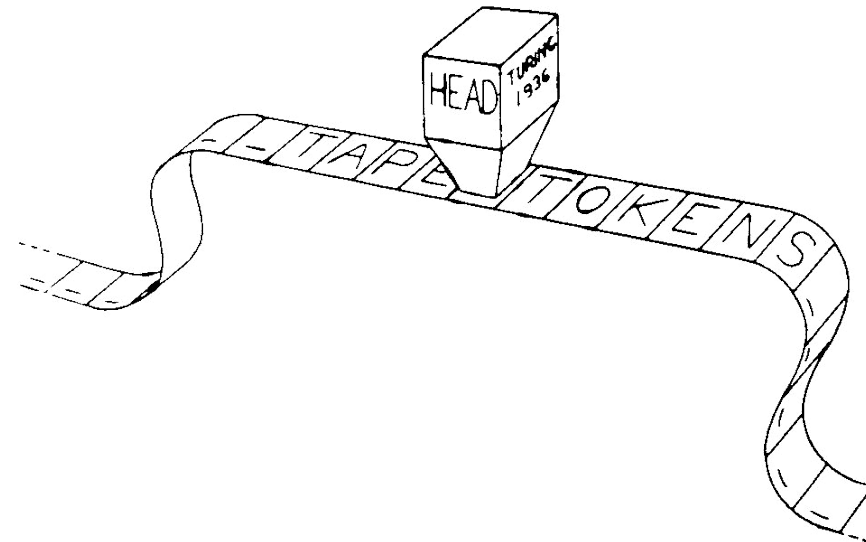
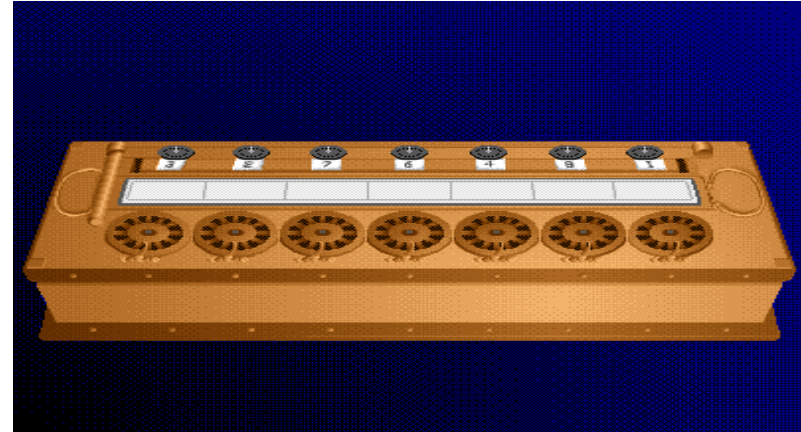
Algorithm

A finite set of precise instructions

The only intelligence required is to understand and compute the instructions

Must always produce a result

Which machine enables to compute everything?



Act 1
Classical Universality

Act 2
Modern Universality

Act 3
Generalized Universality

Algorithm

A finite set of precise instructions

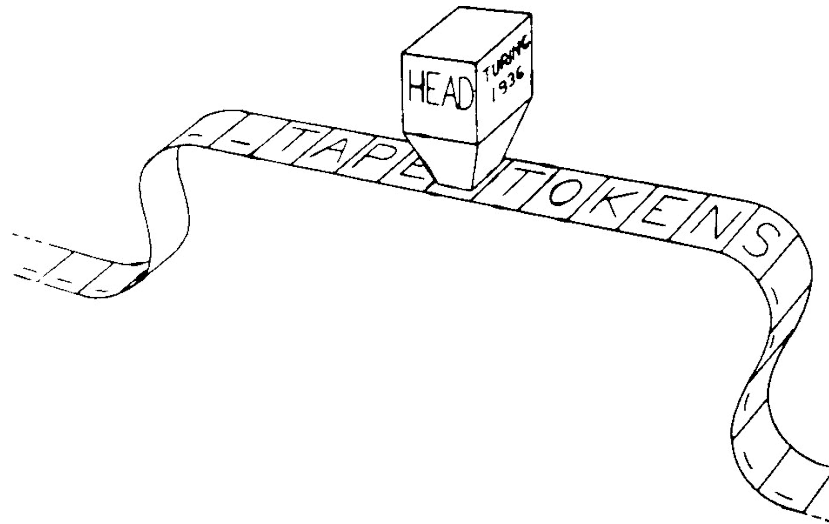
The only intelligence required is to understand and compute the instructions

Must **always** produce a result

NB. Despite concurrency and failures

Universality of consensus

[Lamport-Schneider-Herlihy-CT]



Linearizable
(atomic)



Highly-available
(wait-free)

Consensus

Processes propose each a value and ***agree*** on one of those values

output = ***propose***(input)

Consensus

Validity: every value decided has been proposed

Agreement: no two different values are decided

Termination: every correct process that proposes a value eventually decides

Universal construction

A state machine of which each process holds a copy

A list of commands local to each process

A list of consensus objects shared by the processes

Universal construction

- `while(true)`
- `c = commands.next()`
- `cons = Consensus.next()`
- `c' = cons.propose(c)`
- `sM.perform(c')`

Act 1
Classical Universality

Act 2
Modern Universality

Act 3
Generalized Universality

Generalized Universality

What if consensus is not available?

Consensus is the particular case
of k -consensus

K-consensus [Chauduri, Afek et al.]

- Every process proposes a vector of k values and returns a value at some position
- Every process invokes `kVectCons` with `propose(kVect)` and returns a pair `(value, position)`
- NB. Equivalent of invoking with a value and obtaining a value such that at most k are different

K-consensus

- ***Validity***: the value returned at any position has been proposed at that position
- ***Agreement***: no two values returned at the same position are different
- ***Termination***: every correct process that proposes eventually returns

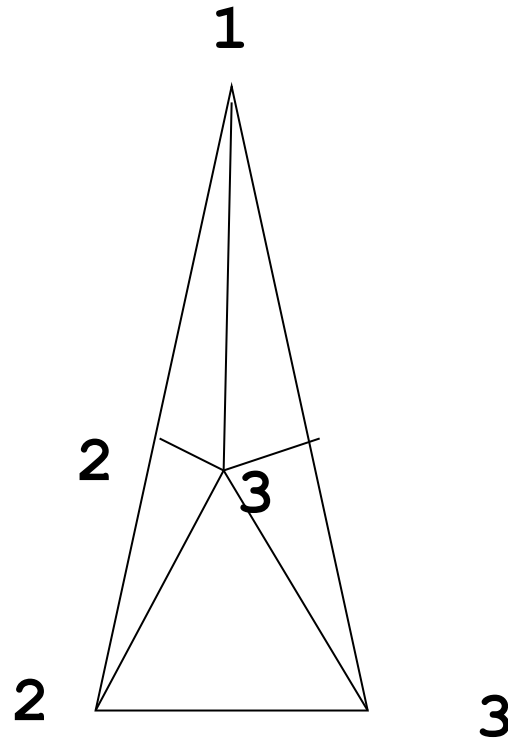
K-consensus

Wait-free impossible in an asynchronous shared memory system (registers) with $k+1$ processes

HS,BG,SZ 93 (Godel prize 2004)

k -consensus is strictly weaker than consensus in any system of more than $k+1$ processes

K-consensus (Sperner)



Sperner's Lemma: at least one triangle has three colors

K-consensus

Leader(): returns a process such that eventually the same correct process is returned to all

Leader-k(): returns a subset of processes of size k such that eventually the set is the same and contains at least one correct process

What form of universality with K-consensus?

With consensus

Processes implement a highly-available state machine



With k-consensus

Processes implement k state machines of which ***at least one*** is highly-available

Generalized Universality

Act 1
Classical Universality

Act 2
Modern Universality

Act 3
Generalized Universality

k state machines

k state machines: each process holding a copy of each (sM(i))

k lists of commands local to each process

A list of k-vector consensus objects (kVectCons)

Reads and writes in shared memory

Universal construction

- while(true)
- c = commands.next()
- cons = consensus.next()

- c' = cons.propose(c)
- sM.perform(c')

Generalized universality?

- while(true)
- for j = 1 to k: com(j) = commands(j).next()
- kVectC = kVectCons.next()

- (c,i) = kVectC.propose(com)
- sM(i).perform(c)

Generalized universality?

- while(true)
- for j = 1 to k: com(j) = commands(j).next()
- kVectC = kVectCons.next()

- (c,i) = kVectC.propose(com)
- read shared memory and update any missing c'
- sM(i).perform(c)
- write (c,i) in shared memory

Commitment (adopt-commit)

write (c) at level 1

let V1 be the set of values at level 1

if V1 has only c, write (commit, c) at level 2

let V2 be the set of values at level 2

if V2 has only (commit, c) then return(commit, c)

if V2 has some (commit, c') then return(adopt, c')

else return (adopt, c)

Commitment

- ***Invariant (1)***: if a value v is committed then no other value is returned
- ***Invariant (2)***: if all processes propose the same command then the command is committed

Generalized universality (step 0)

- `newCom = commands.next()`
- `while(true)`
- `kVectC = kVectCons.next()`

Generalized universality (step 1)

- ...
- $(c,i) = \text{kVectC.propose}(\text{newCom})$
- ...

Generalized universality (step1-2)

- ...
- $(c,i) = \text{kVectC.propose}(\text{newCom})$
- $\text{vect}(i) = \text{commitment}(i,c)$
- ...

Generalized universality (step 1-2-2')

- ...
- $(c,i) = kVectC.propose(newCom)$
- $vect(i) = commitment(i,c)$
- for $j = 1$ to k except i :
 - $vect(j) = commitment(newCom(j))$
 - ...

Generalized universality (step 3)

...

for i = 1 to k

- if ok(vect(i)) then
 - sM(i).perform(vect(i))
 - newCom(i) = commands(i).next()
- else
 - newCom(i) = vect(i)

Commitment

- ***Safety***: a process does not perform a command unless all others know the command
- ***Liveness***: at least one process executes a command in every round

NB. Every correct process executes at least one command every two rounds

Act 1
Classical Universality

Act 2
Modern Universality

Act 3
Generalized Universality