



# Distributed systems

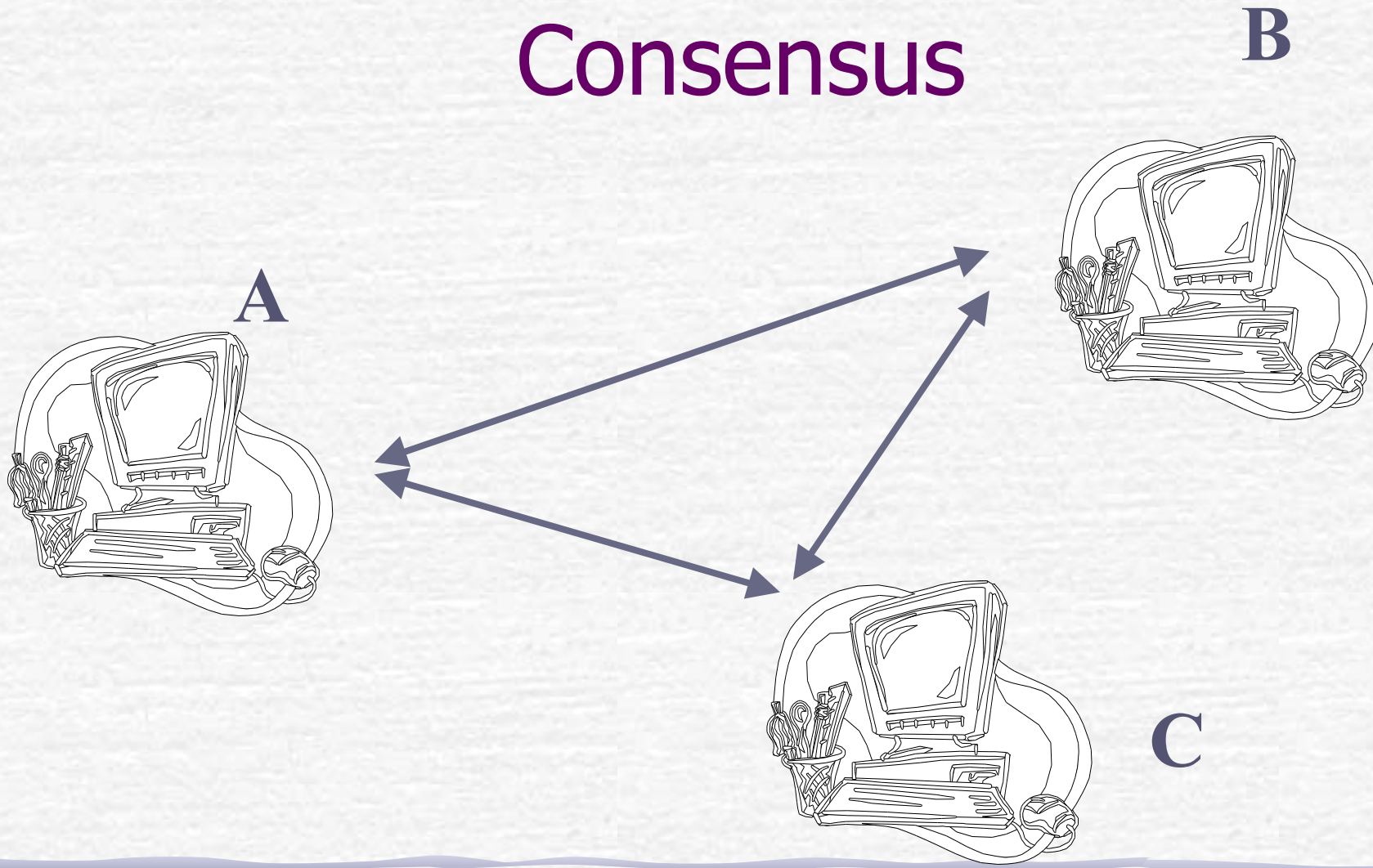
## Consensus

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# Consensus



# Consensus

- In the consensus problem, the processes propose values and have to agree on one among these values
- Solving consensus is key to solving many problems in distributed computing (e.g., total order broadcast, atomic commit, terminating reliable broadcast)

# Consensus

**C1. Validity:** Any value decided is a value proposed

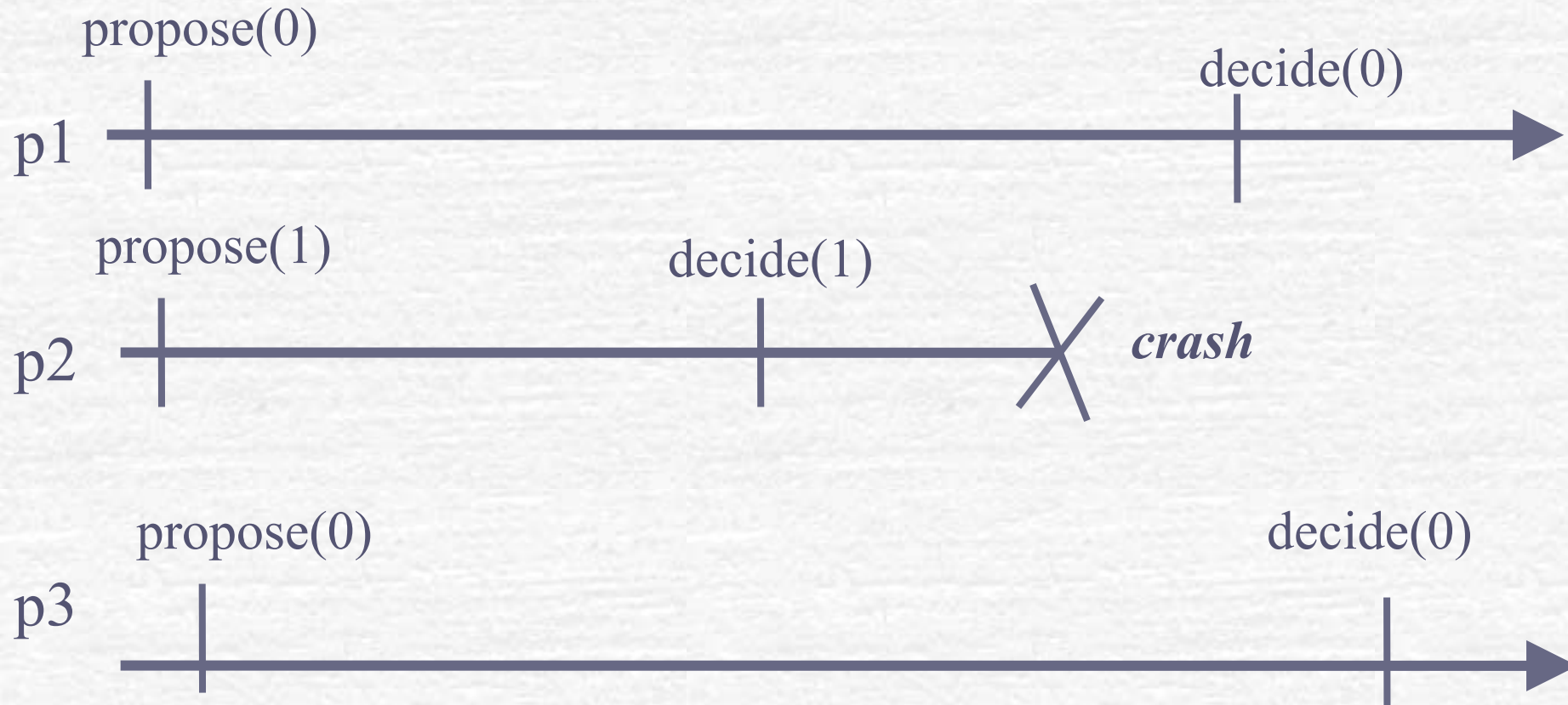
**C2. Agreement:** No two correct processes decide differently

**C3. Termination:** Every correct process eventually decides

**C4. Integrity:** No process decides twice



# Consensus



# Uniform consensus

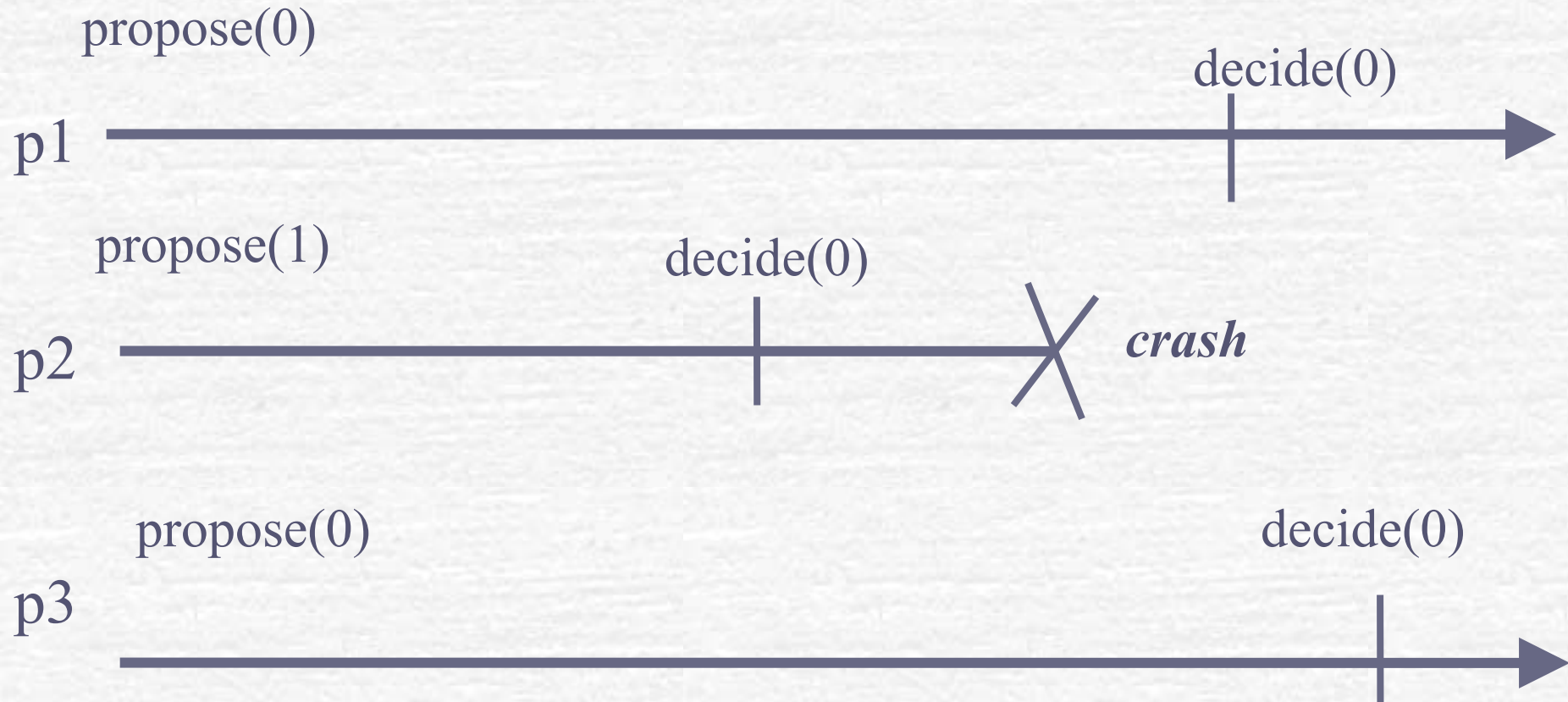
**C1. Validity:** Any value decided is a value proposed

**C2'. Uniform Agreement:** No two processes decide differently

**C3. Termination:** Every correct process eventually decides

**C4. Integrity:** No process decides twice

# Uniform consensus



# Consensus

## • **Events**

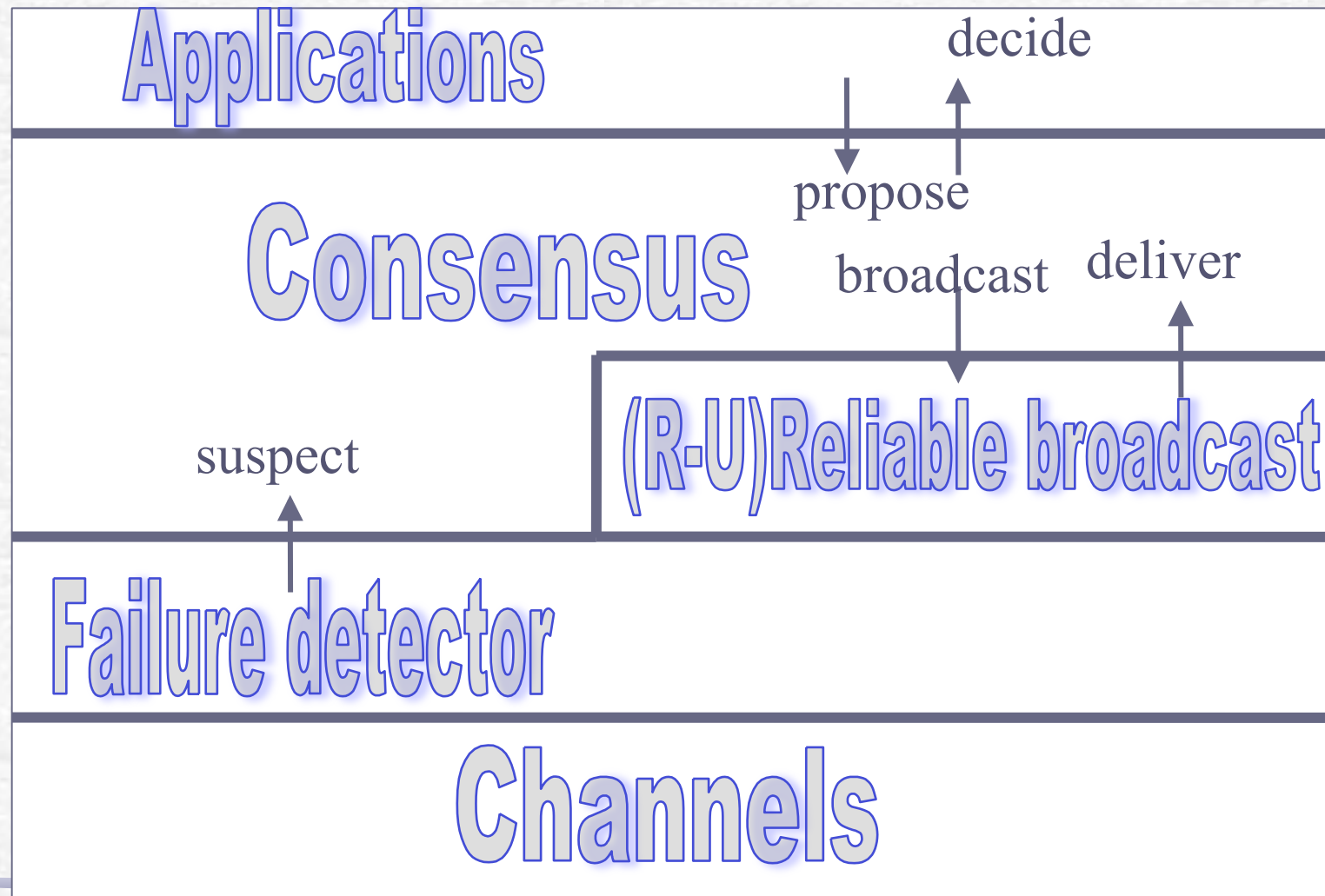
- Request:  $\langle \text{Propose}, v \rangle$
- Indication:  $\langle \text{Decide}, v' \rangle$

## • **Properties:**

- **$C1, C2, C3, C4$**



# Modules of a process



# Consensus algorithm I

- A P-based (fail-stop) consensus algorithm
- The processes exchange and update proposals in rounds and decide on the value of the non-suspected process with the smallest id

# Consensus algorithm II

- A P-based (i.e., fail-stop) uniform consensus algorithm
- The processes exchange and update proposal in rounds, and after  $n$  rounds decide on the current proposal value [Lyn96]

# Consensus algorithm III

- A  $<>P$ -based uniform consensus algorithm assuming a correct majority
- The processes alternate in the role of a coordinator until one of them succeeds in imposing a decision [DLS88,CT91]



# Consensus algorithm I

- The processes go through rounds incrementally (1 to  $n$ ): in each round, the process with the id corresponding to that round is the leader of the round
- The leader of a round decides its current proposal and broadcasts it to all
- A process that is not leader in a round waits (a) to deliver the proposal of the leader in that round to adopt it, or (b) to suspect the leader

# Consensus algorithm I

- ✎ **Implements:** Consensus (cons).

- ✎ **Uses:**

  - ✎ BestEffortBroadcast (beb).

  - ✎ PerfectFailureDetector (P).

- ✎ **upon event** < Init > **do**

  - suspected :=  $\emptyset$ ;
  - round := 1; currentProposal := nil;
  - broadcast := delivered[] := false;

# Consensus algorithm I

- **upon event**  $\langle \text{crash}, p_i \rangle$  **do**
  - $\text{suspected} := \text{suspected} \cup \{p_i\};$
- **upon event**  $\langle \text{Propose}, v \rangle$  **do**
  - **if**  $\text{currentProposal} = \text{nil}$  **then**
    - $\text{currentProposal} := v;$

# Consensus algorithm I

☛ **upon event**  $\langle \text{bebDeliver}, p_{\text{round}}, \text{value} \rangle$  **do**

☛  $\text{currentProposal} := \text{value};$

☛  $\text{delivered}[\text{round}] := \text{true};$

☛ **upon event**  $\text{delivered}[\text{round}] = \text{true}$  **or**  
 $p_{\text{round}} \in \text{suspected}$  **do**

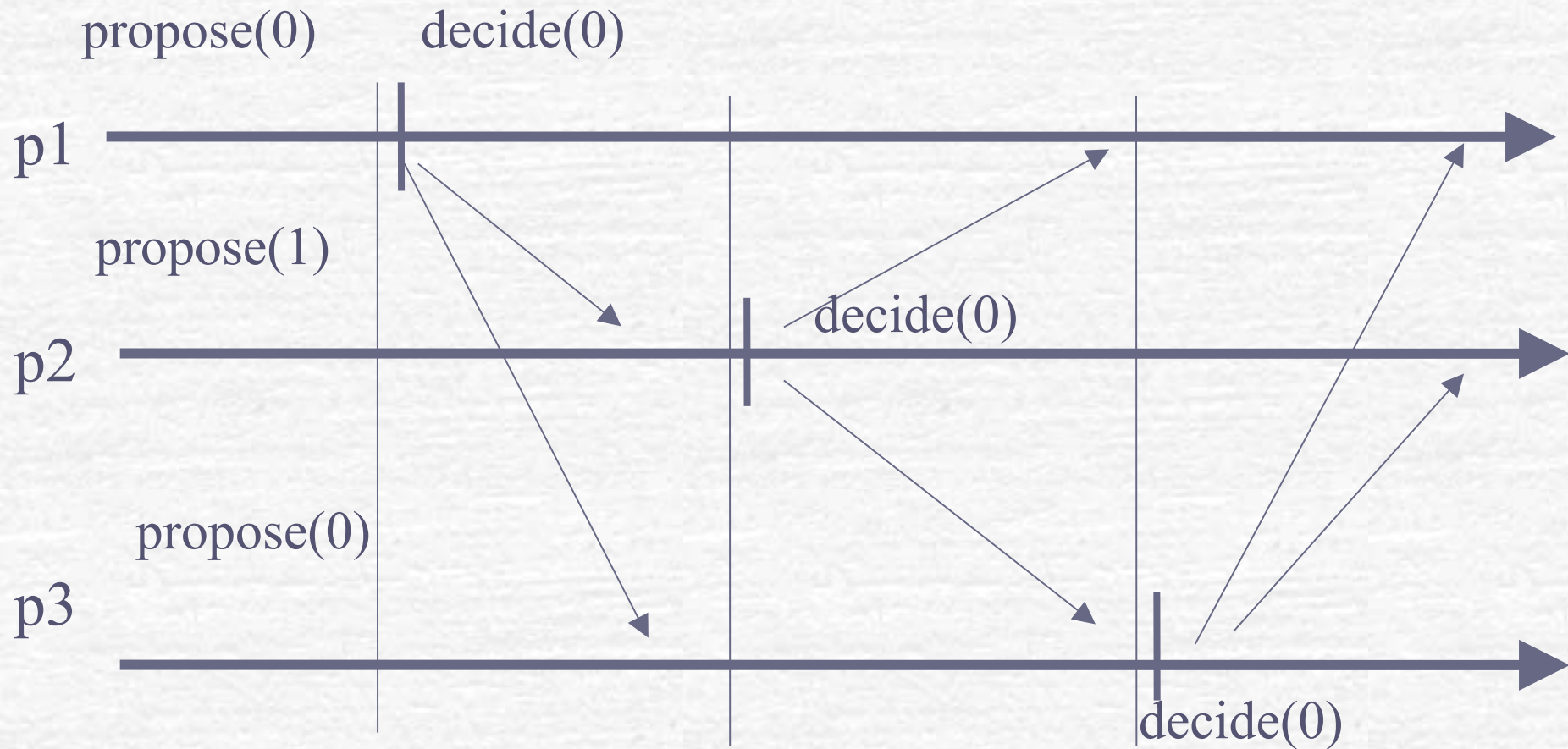
☛  $\text{round} := \text{round} + 1;$



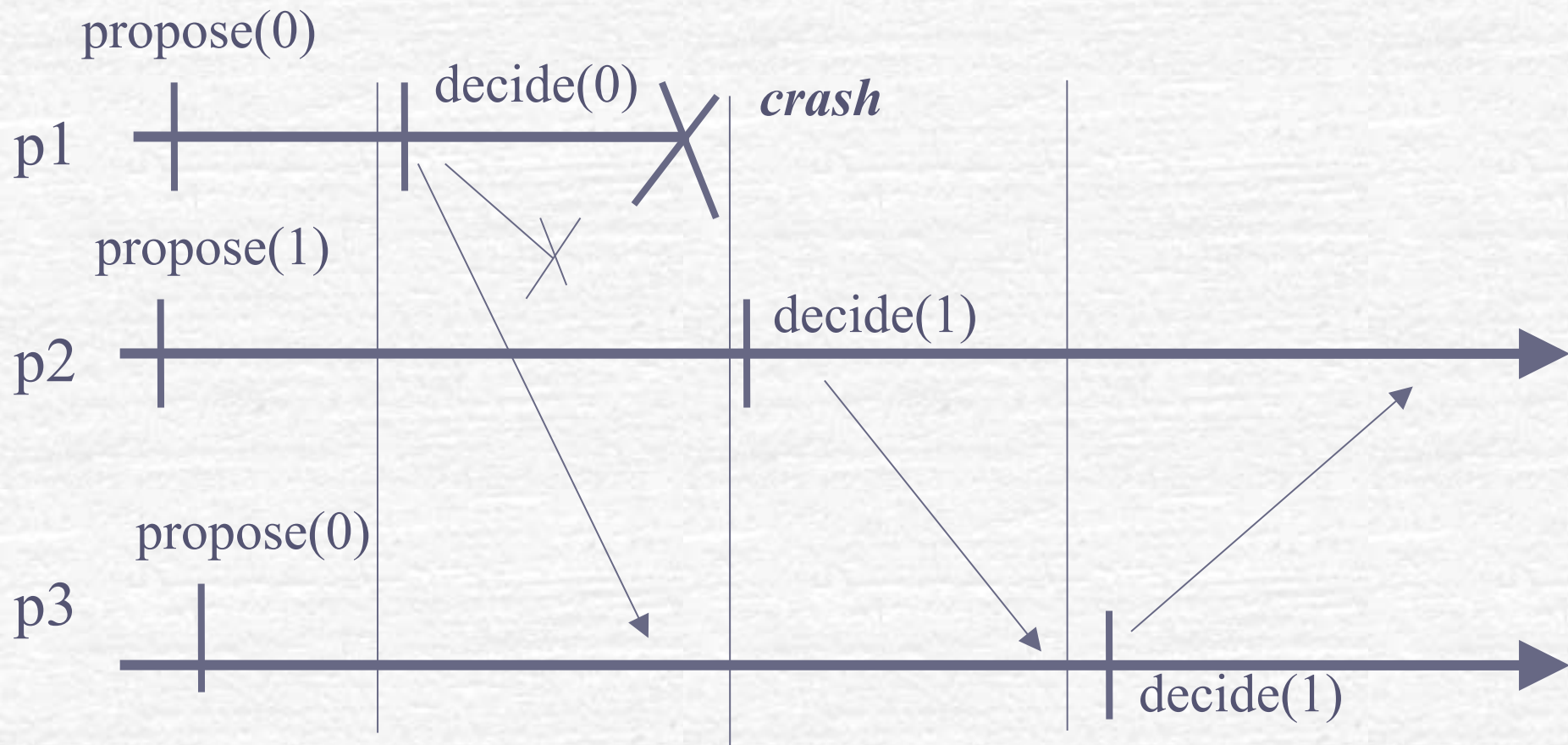
# Consensus algorithm I

- **upon event**  $p_{\text{round}} = \text{self}$  **and**  $\text{broadcast} = \text{false}$  **and**  $\text{currentProposal} \neq \text{nil}$  **do**
  - **trigger**  $\langle \text{Decide}, \text{currentProposal} \rangle$ ;
  - **trigger**  $\langle \text{bebBroadcast}, \text{currentProposal} \rangle$ ;
  - $\text{broadcast} := \text{true}$ ;

# Consensus algorithm I



# Consensus algorithm I



# Correctness argument

- Let  $p_i$  be the correct process with the smallest id in a run  $R$ .
- Assume  $p_i$  decides  $v$ .
  - If  $i = n$ , then  $p_n$  is the only correct process.
  - Otherwise, in round  $i$ , all correct processes receive  $v$  and will not decide anything different from  $v$ .



## Consensus algorithm II

- Algorithm II implements uniform consensus
- The processes go through rounds incrementally (1 to  $n$ ): in each round  $I$ , process  $p_I$  sends its currentProposal to all.
- A process adopts any currentProposal it receives.
- Processes decide on their currentProposal values at the end of round  $n$ .

# Consensus algorithm II

- **Implements:** Uniform Consensus (ucons).

- **Uses:**

  - BestEffortBroadcast (beb).

  - PerfectFailureDetector (P).

- **upon event** < Init > **do**

  - suspected :=  $\emptyset$ ;
  - round := 1; currentProposal := nil;
  - broadcast := delivered[] := false;
  - decided := false

# Consensus algorithm II

• **upon event**  $\langle \text{crash}, p_i \rangle$  **do**

    suspected  $:=$  suspected  $\cup \{p_i\};$

• **upon event**  $\langle \text{Propose}, v \rangle$  **do**

**if** currentProposal = nil **then**

        currentProposal  $:= v;$

# Consensus algorithm II

```
upon event < bebDeliver,  $p_{\text{round}}$ , value > do  
  currentProposal := value;  
  delivered[round] := true;
```



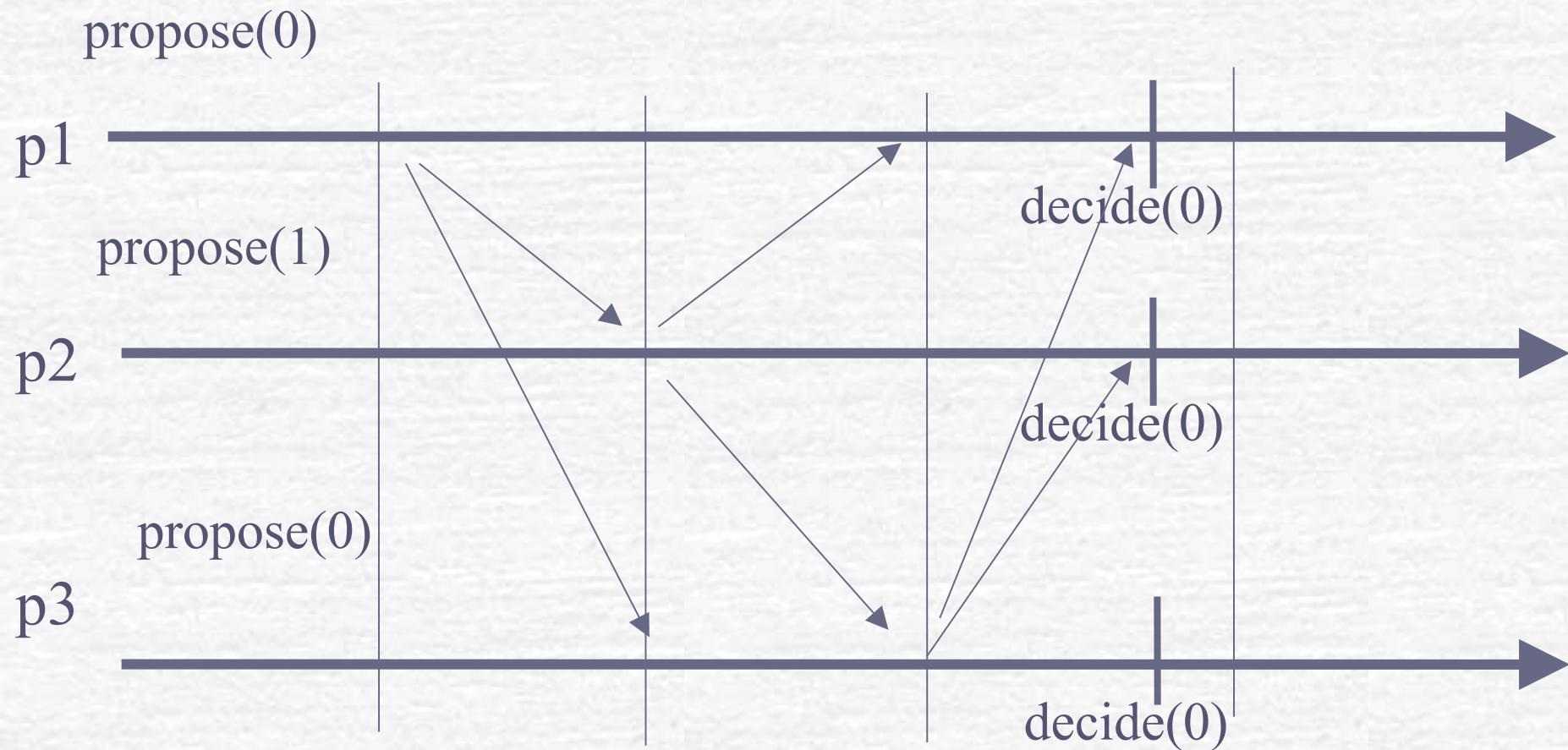
# Consensus algorithm II

```
upon event delivered[round] = true or  
           pround ∈ suspected do  
    if round=n and decided=false then  
        trigger <Decide, currentProposal>  
        decided=true  
    else  
        round := round + 1
```

# Consensus algorithm II

```
upon event  $p_{\text{round}} = \text{self}$  and  
    broadcast = false and  
    currentProposal  $\neq$  nil do  
    trigger <bebBroadcast, currentProposal>;  
    broadcast := true;
```

# Consensus algorithm II



## Correctness argument (A)

- **Lemma:** If a process  $p_J$  completes round  $I$  without receiving any message from  $p_I$  and  $J > I$ , then  $p_I$  crashes by the end of round  $J$ .
- **Proof:** Suppose  $p_J$  completes round  $I$  without receiving a message from  $p_I$ ,  $J > I$ , and  $p_I$  completes round  $J$ . Since  $p_J$  suspects  $p_I$  in round  $I$ ,  $p_I$  has crashed before  $p_J$  completes round  $I$ . In round  $J$  either  $p_I$  suspects  $p_J$  (not possible because  $p_I$  crashes before  $p_J$ ) or  $p_I$  receives round  $J$  message from  $p_J$  (also not possible because  $p_I$  crashes before  $p_J$  completes round  $I < J$ ).



## Correctness argument (B)

- **Uniform agreement:** Consider the process with the lowest id which decides, say  $p_I$ . Thus,  $p_I$  completes round  $n$ . By our previous lemma, in round  $I$ , every  $p_J$  with  $J > I$  receives the currentProposal of  $p_I$  and adopts it. Thus, every process which sends a message after round  $I$  or decides, has the same currentProposal at the end of round  $I$ .

# Consensus algorithm III

- A uniform consensus algorithm assuming:
  - a correct majority
  - a  $\langle \rangle P$  failure detector
- Basic idea: the processes alternate in the role of a phase coordinator until one of them succeeds in imposing a decision

# Consensus algorithm III

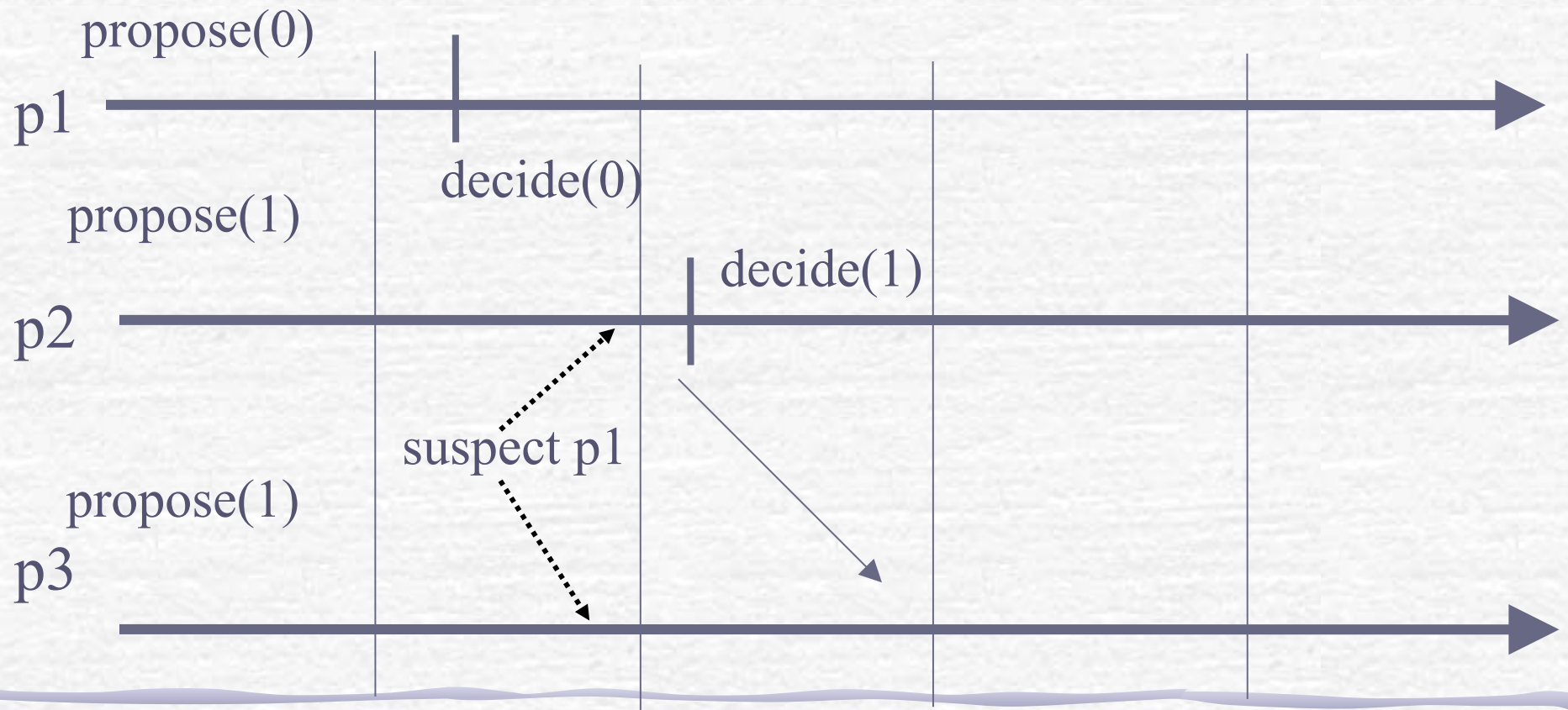
- $\langle \rangle P$  ensures:
  - ***Strong completeness:*** eventually every process that crashes is permanently suspected by all correct processes
  - ***Eventual strong accuracy:*** eventually no correct process is suspected by any process

## "<>" makes a difference

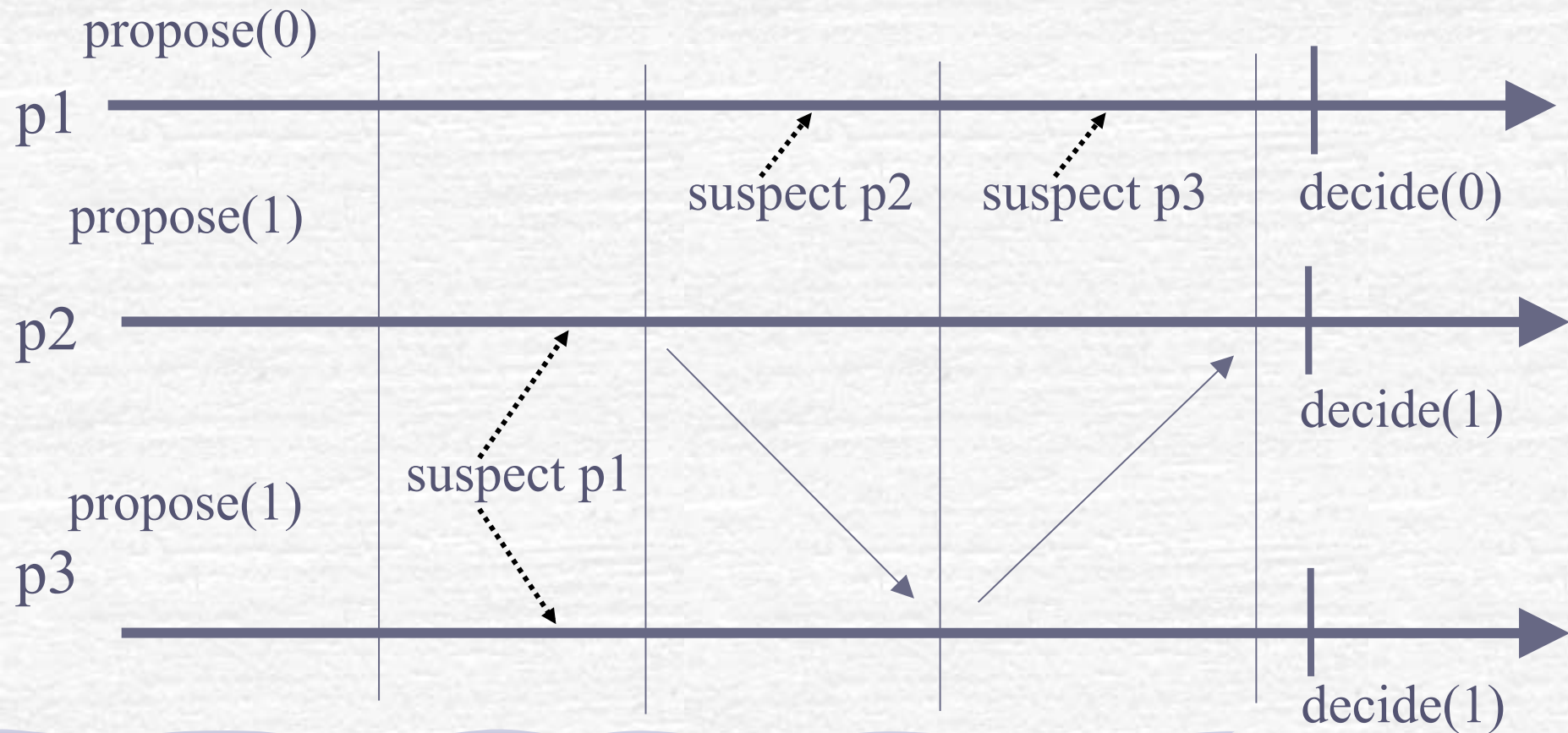
- ***Eventual strong accuracy:*** strong accuracy holds only *after finite time*.
- Correct processes may be *falsely suspected* a finite number of times.
- This breaks consensus algorithms I and II
  - Counter examples for algorithm I and II (see next slide)



# Agreement violated with $\langle \rangle P$ in algorithm I



# Agreement violated with $<>P$ in algorithm II



# Consensus algorithm III

- The algorithm is also round-based: processes move incrementally from one round to the other
- Process  $p_i$  is **leader** in every round  $k$  such that  $k \bmod N = I$
- In such a round,  $p_i$  **tries to decide** (next 2 slides)

# Consensus algorithm III

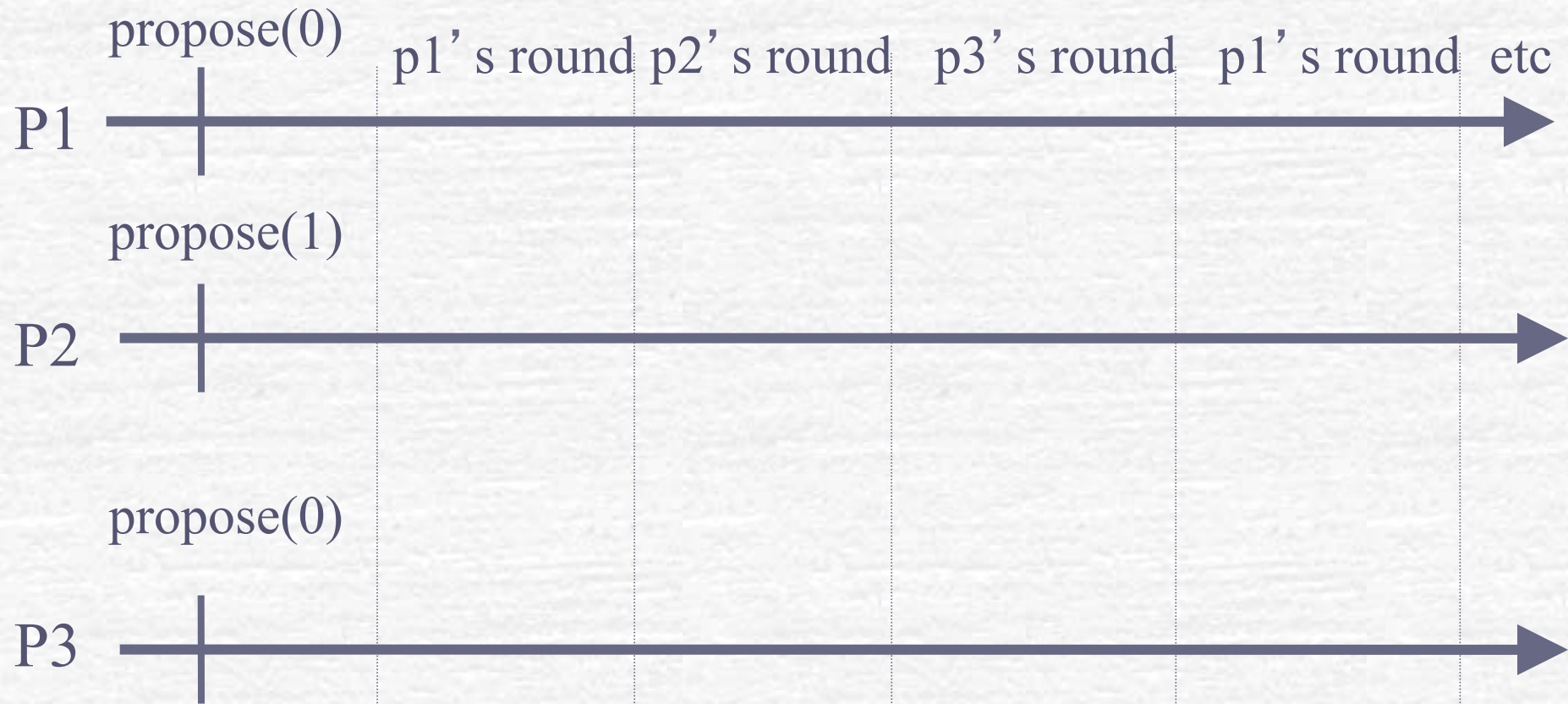
- $p_i$  succeeds if it is not suspected (processes that suspect  $p_i$  inform  $p_i$  and move to the next round;  $p_i$  does so as well)
- If  $p_i$  succeeds,  $p_i$  uses a reliable broadcast to send the decision to all (the reliability of the broadcast is important here to preclude the case where  $p_i$  crashes, some other processes delivers the message and stop while the rest keeps going without the majority)



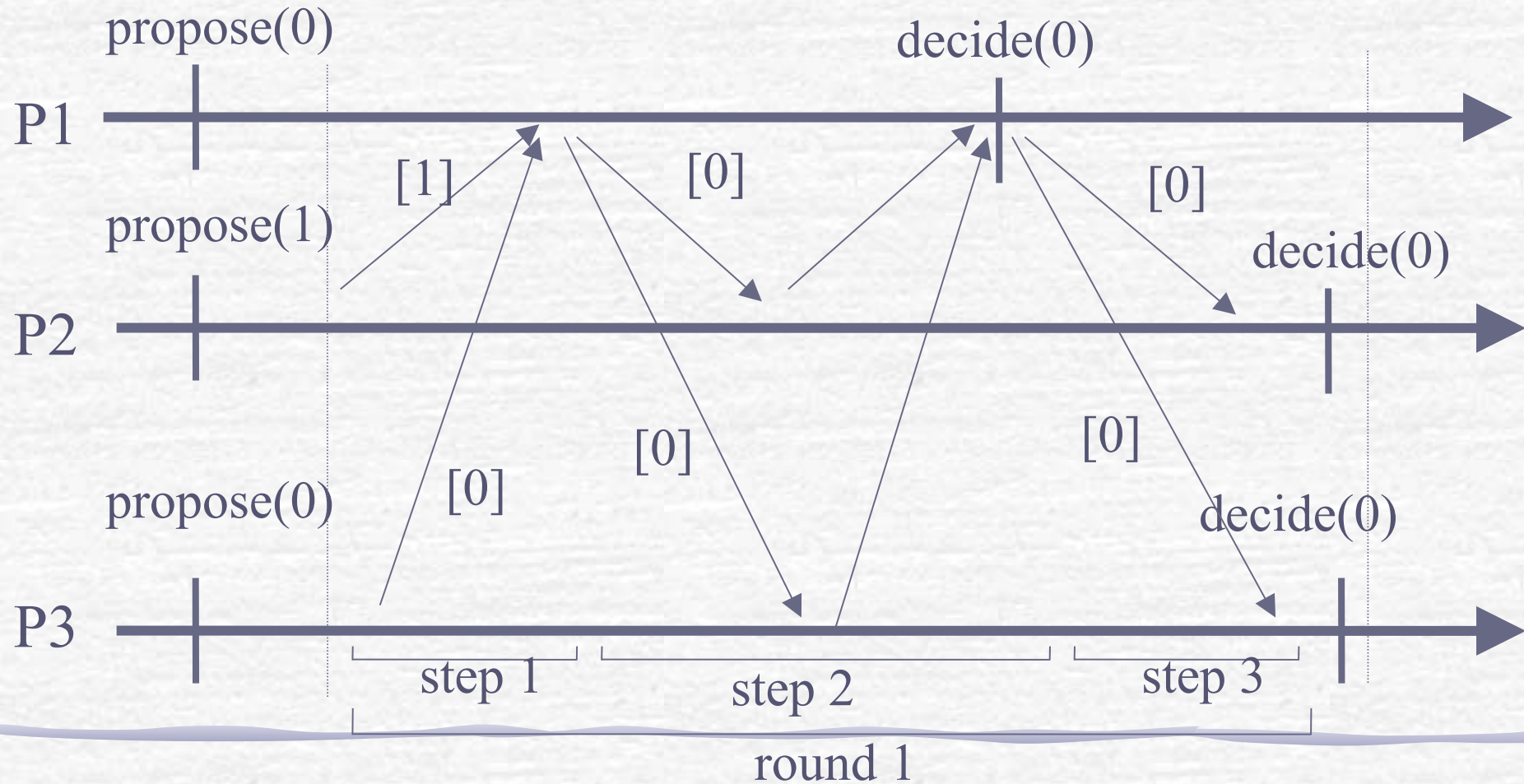
# Consensus algorithm III

- To decide,  $p_i$  executes steps 1-2-3
  - 1.  $p_i$  selects among a majority the latest adopted value (latest with respect to the round in which the value is adopted – see step 2)
  - 2.  $p_i$  imposes that value at a majority: any process in that majority adopts that value –  $p_i$  fails if it is suspected
  - 3.  $p_i$  decides and broadcasts the decision to all

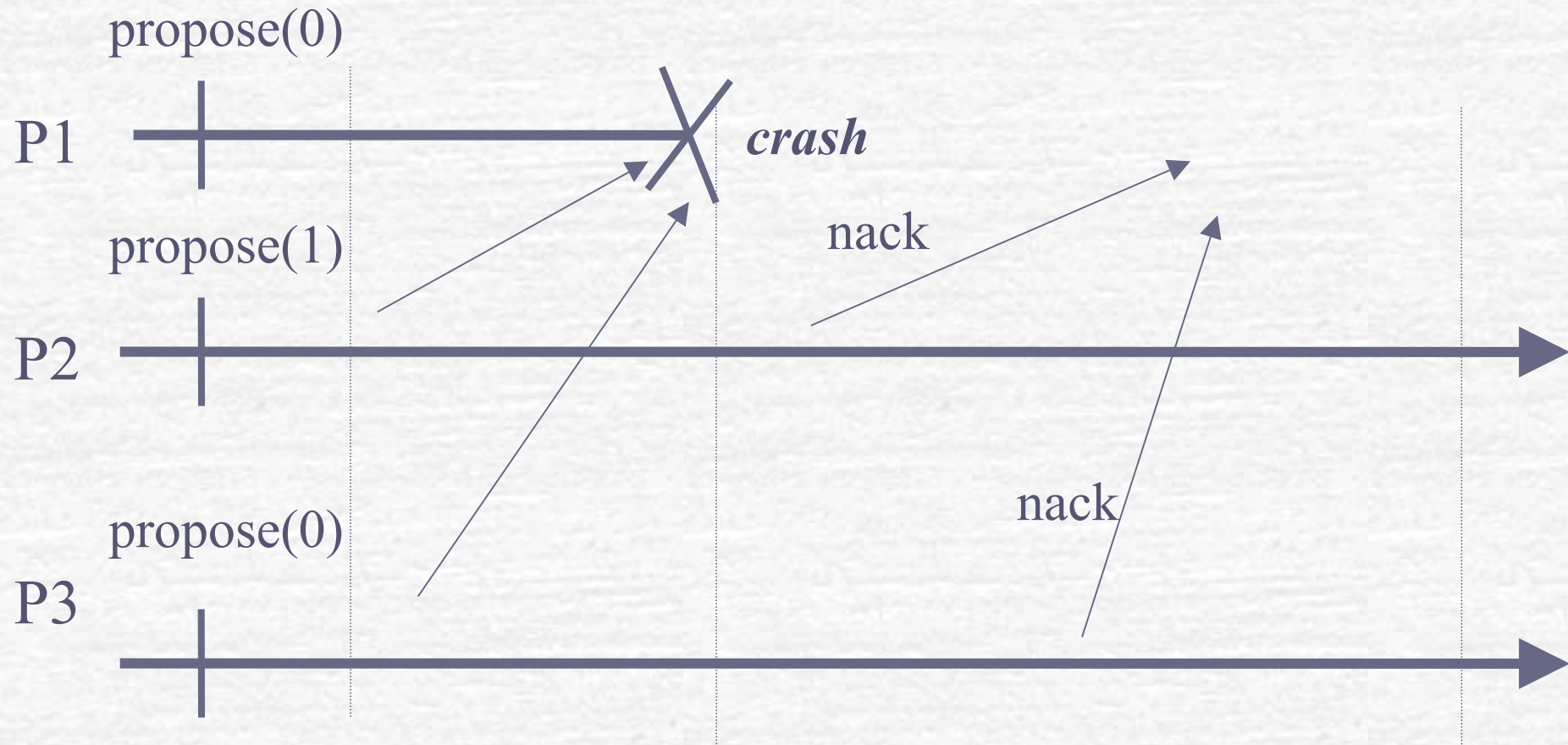
# Consensus algorithm III



# Consensus algorithm III

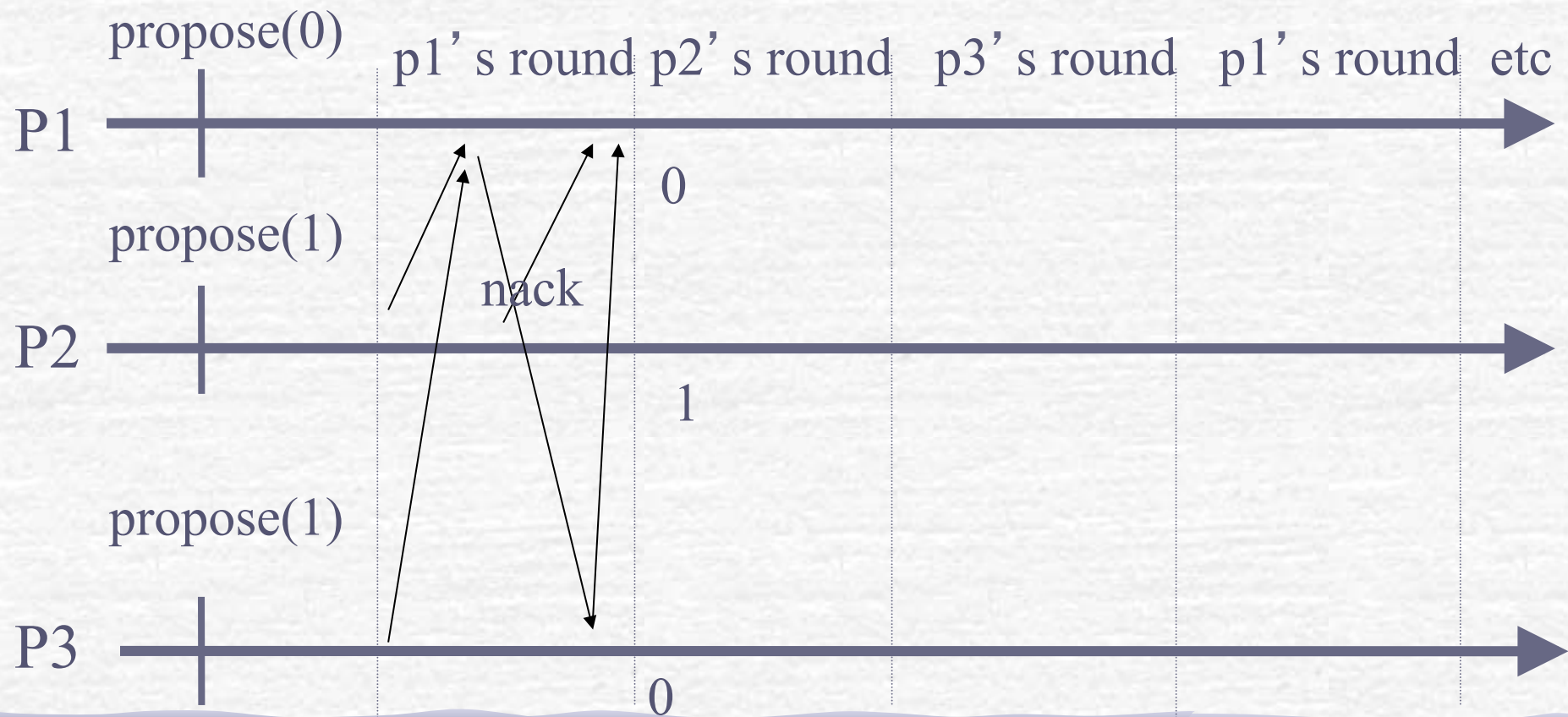


# Consensus algorithm III





# Consensus algorithm III



## Correctness argument A

- ***Validity*** and ***integrity*** are trivial
- Consider ***termination***: if a correct process decides, it uses reliable broadcast to send the decision to all: every correct process decides
- Assume by contradiction that some process is correct and no correct process decides. We argue that this is impossible.

## Correctness argument A'

- By the correct **majority** assumption and the **completeness** property of the failure detector, no correct process remains blocked forever in some phase.
- By the **accuracy** property of the failure detector, some correct process reaches a round where it is leader and it is not suspected and reaches a decision in that round: a contradiction

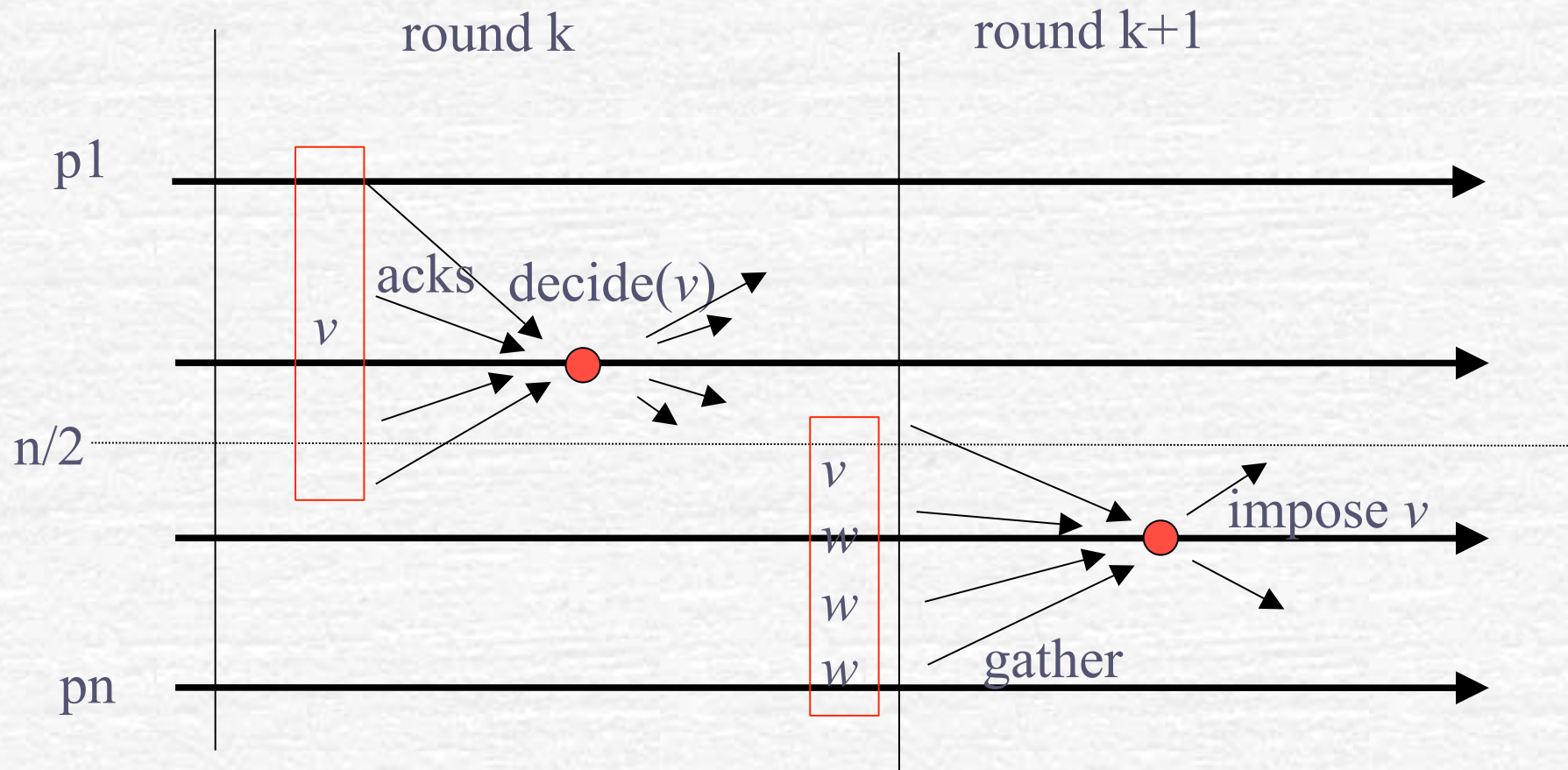
## Correctness argument B

- Consider now *agreement*
- Let  $k$  be the first round in which some process  $p_i$  decides some value  $v$ , i.e.,  $p_i$  is the leader of round  $k$  and  $p_i$  decides  $v$  in  $k$
- This means that, in round  $k$ , a majority of processes have adopted  $v$
- By the algorithm, no value else than  $v$  will be proposed (and hence decided) by any process in a round higher than  $k$



# Correctness argument B

new



● = leader of that round

# Agreement is never violated

- Look at a "totally unreliable" failure detector (provides no guarantees)
  - may always suspect everybody
  - may never suspect anybody
- Agreement is not violated
  - Can use the same correctness argument as before
  - Termination not ensured (everybody may be suspected infinitely often)

# Summary

- (Uniform) Consensus problem is an important problem to maintain consistency
- Three algorithms:
  - I: consensus using  $P$
  - II: uniform consensus using  $P$
  - III: uniform consensus using  $\langle \rangle P$  and a correct majority